

22851-P001US

PATENT

- 1 -



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Examiner:
C. Thompson et al.	:	M. Huseman
Serial No.: 09/576,462	:	Group Art Unit: 2161
Filed: May 23, 2000	:	
Title: SYSTEM AND METHOD	:	
FOR PROCESSING IMPORT/EXPORT	:	
TRANSACTIONS	:	

**DECLARATION UNDER 37 C.F.R. § 1.131**

I hereby declare:

1. My name is Cliff Burke Thompson. I am one of the inventors of the above-identified patent application.
2. I conceived of the invention claimed in the above-identified patent application (the "Invention") prior to July 30, 1999.
3. In furtherance of the development of the Invention, I requested and received from Tokyo Electron a soft copy of a database file of part numbers identifying exemplary products imported into the U.S. A hard copy of the first page of that database file is attached hereto as Exhibit B. A partial printout of the contents of the diskette on which the soft copy of the database file was stored is shown in Exhibit A attached hereto, which indicates that the database file was modified on July 19, 1999. This exemplary database file was obtained by me to create and format a database as recited in the claims of the above-identified patent application.

4. I could only work on the Invention part-time, since I maintained separate employment with Yusen Air & Sea Service U.S.A., Inc. ("Yusen"). Conception of the Invention and its reduction to practice were unrelated to my duties as an employee of Yusen. Such duties included extensive travel during August – October 1999. During July– October 1999, I diligently worked on the details of how to implement and reduce to practice the Invention. Such work included the creation of block and flow diagrams and accompanying notes as shown in Exhibits C-J attached hereto, finding a server on the Internet to host the database to be created, and finding a person knowledgeable about import/export tariff regulations to ensure that the system and method of the Invention would work as intended from a government regulatory standpoint. This person is David Kirby, the other inventor in the above-identified patent application.

5. David Kirby and I refined the operation of the Invention and then handed it over to Charles Normann who created the software that reduced the Invention to practice in October 1999.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Declaration is directed.

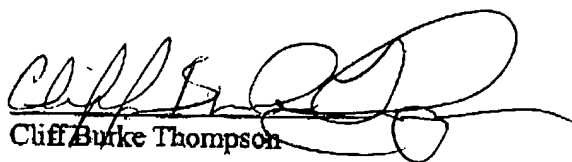
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P. 02

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PATENT

Respectfully submitted,

  
Cliff Burke Thompson

AUSTIN\_111786561  
11/29/2001 - 22851-P001US



EXHIBIT A

A:\

Name  
✓stock parts edit

<u>Size</u>	<u>Type</u>
379KB	Microsoft Excel Worksheet

<u>Last Modified</u>
7/12/99 3:33 PM

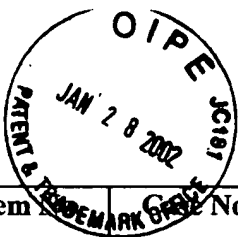


EXHIBIT B

Item No.	Case No.	Box No.	Parts No.	Description
2000	1	67	017-000126-1	CUFF..GL.D.H ID
2001	1	67	017-000127-1	CUFF..GL.D.H ID
2002	1	67	017-000131-1	CUFF..P TYPE ID
2003	10	104-113	017-000132-1	HOSE,FLEX..V-SUS
2004	4	116,117	017-000135-1	HOSE,FLEX..GL.D.H
2005	3	167,168	017-000138-1	HOSE,FLEX..GL.D.H
2006	5	114,115	017-000139-1	HOSE,FLEX..GL.D.H
2007	12	-	017-000183-,P	HOSE,FLEX..GL.D.H19
2008	1	67	017-000195-1	CLAMP,HOSE..TM-144SUS-110-5
2009	1	67	017-000196-1	CLAMP,HOSE..TM-144SUS-25-5
2010	1	67	017-000197-1	CLAMP,HOSE..TM-144SUS-38-5
2011	1	67	017-000199-1	CLAMP,HOSE..TM-144SUS-50-5
2012	1	67	017-000200-1	CLAMP,HOSE..TM-144SUS-65-5
2013	1	67	017-000201-1	CLAMP,HOSE..TM-144SUS-75-5
2014	1	67	017-000202-1	CLAMP,HOSE..TM-144SUS-90-5
2015	6	71	017-000210-1	TUBE,TEFLON..NO.9003-PTFE 6.35*4.35
2016	6	71	017-000214-1	TUBE,TEFLON..NO.9003-PTFE 4*3
2017	6	71	017-000215-1	TUBE,TEFLON..NO.9003-PTFE 6*4
2018	12	-	017-000359-1	HOSE,FLEX..V.S.-A TYPE 32
2019	12	-	017-000363-1	HOSE..DS-
2025	4	118-122	017-000455-1	HOSE,FLEX..P-
2026	3	123-127	017-000456-1	HOSE,FLEX..P-2
2028	2, 4	128-134	017-000813-1	DUCT..KANA-ALUMINUM-DUCT 100
2029	5-C10	155-164	017-001038-1	HOSE,FLEX..V-PA
2030	6	71	017-001063-1	TUBE,TEFLON..NO.9003-PL 12A L=3
2031	1	67	017-001150-1	CUFF..DIA75 CUFF-SI (92391LR
2032	3	165, 166	017-001191-1	HOSE,FLEX..GL.D.H
2033	11	69	017-001192-1	CUFF..GL.D.H ID=
2034	6	71	017-001269-1	TUBE..PL-1-3/8 V
2035	6	71	017-001270-1	TUBE..PL-1-3/8 E
2036	6	71	017-001271-1	TUBE..PL-1-3/8 C
2037	4	94-103	017-001288-1	HOSE,FLEX..V-PAL
2038	3	135-144	017-001289-1	HOSE,FLEX..V-PA
2039	5-C6-C10	145-154	017-001290-1	HOSE,FLEX..V-PA
2040	1	67	017-001326-1	TUBE,VINYL..7716
2041	4	192	017-001536-1	HOSE..DIA12 ALUMI INCLUE
2042	1	67	017-001557-1	TUBE,FLEX..PENN CHEMCT 1/2" 2FI
2043	11	69	017-001655-1	TUBE..PN-4-4*2.5 I
2044	1	67	017-001961-1	TUBE PART..1/4 DUST CAP (CAT2302-25)



EXHIBIT C

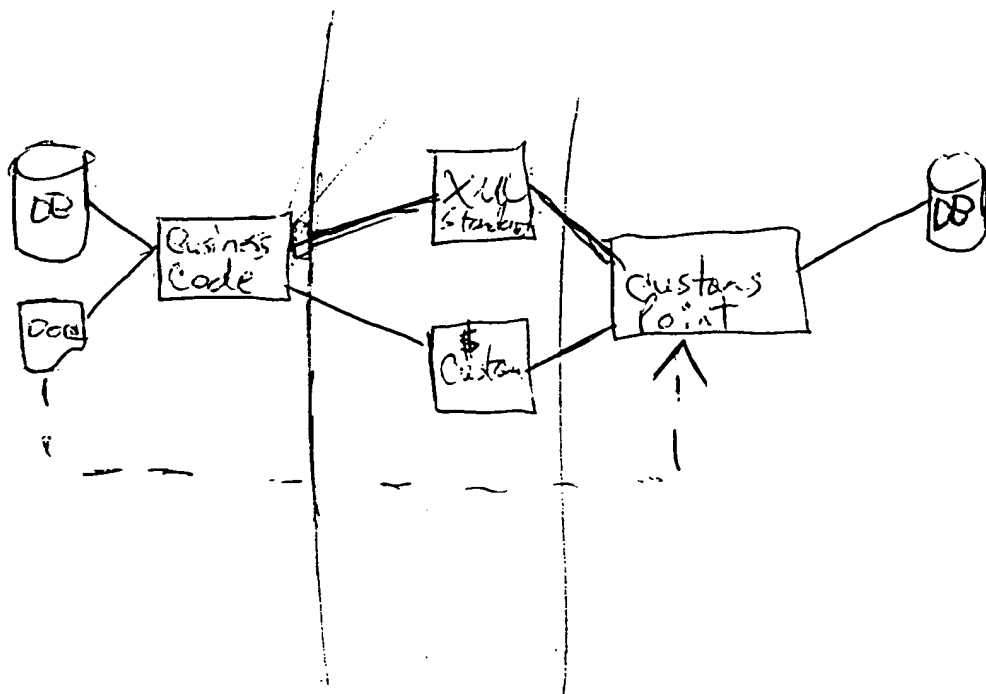
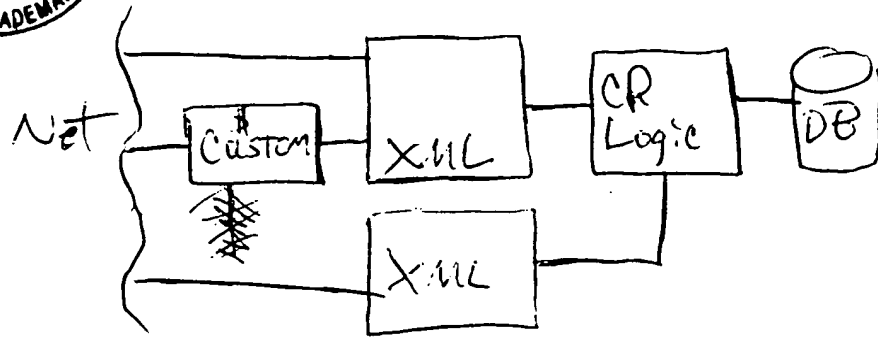




EXHIBIT D

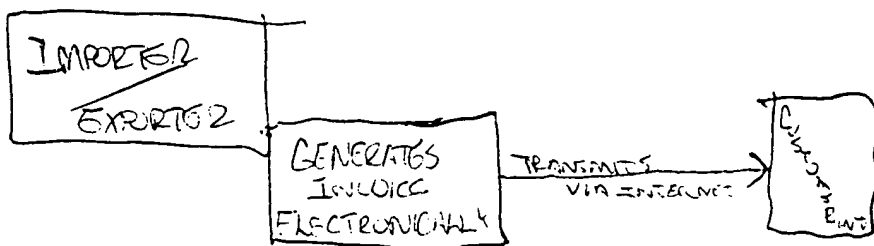
MGTLOS  
OBJECTIVE 1) ~~PROCESSING~~ BUSINESS MODEL

HOST SERVER

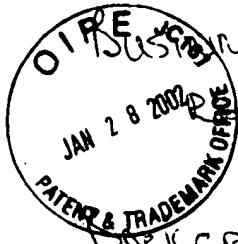
DATABASE OF PRODUCTS ~~PROCESSING~~

FIELDS WITH APPROPRIATE ITEMS RELATING TO CLASSIFICATION

PART# DSSC 1 DSSC 2 UOM 1 UOM 2 COUNTRY  
UNIT VALUE



## PATENT PROCESS \* THIS IS A BUSINESS MODEL



BUSINESS TO BUSINESS TO GOVERNMENT INFORMATION MGMT  
RELATING TO IMPORT/EXPORT PROCESS

BACKGROUND

LEGAL

COMMERCIAL

METHOD (CURRENT)

CUSTOMS POINT

LEGAL

COMMERCIAL

METHOD

SUMMARY

- METHOD OF <sup>INFRASTRUCTURE</sup> OBJECTIVES OF CUSTOMS POINT <sup>RELATING TO IMPORTERS/EXPORTERS/MANUFACTURERS</sup>
- 1) PROVIDE DATABASE FORMAT OF PRODUCTS THAT COMPLY WITH <sup>DISCRIMINATE</sup> <sup>OR SIMILAR</sup> <sup>BUSINESS</sup> APPLICABLE GOVT CUSTOMS REPORTING REGULATIONS FOR PURPOSES OF MERCHANDISE/COMMODITY TAXES CLASSIFICATION <sup>CHG</sup>
  - 2) <sup>METHOD</sup> <sup>TARGET</sup> AUTOMATED CLASSIFICATION OF MERCHANDISE/COMMODITIES ACCORDING TO INFORMATION SUPPLIED TO DATABASE FROM CLIENT
  - 3) PROVIDE METHOD IN WHICH GOVT CUSTOMS RELATED INFORMATION CONCERNING MERCHANDISE/COMMODITIES INVOLVED WITH AN IMPORT/EXPORT TRANSACTION ARE UNIFORMLY PROVIDED TO ~~WEP~~ <sup>VEHICLES</sup> <sup>(FREIGHT FORWARDS)</sup> CUSTOMS BROKERS, INTEGRATORS, LOGISTICS COMPANIES, CONSULTANTS, OR SIMILAR FOR THE PURPOSE OF COMPLETING THE IMPORT/EXPORT TRANSACTION IN ACCORDANCE WITH APPLICABLE RULES AND REGULATIONS VIA ~~ANOTHER~~ <sup>INTER</sup> <sup>MEDIUM</sup>
  - 4) <sup>VIA INTERNET</sup> PROVIDE AUTHORIZED CLIENTS SUMMARY/MANAGEMENT REPORTS ON CLASSIC INVOICES FOR PURPOSES OF UP TO DATE FORECASTING/SALES/TREND



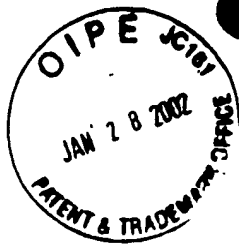


EXHIBIT F

# OBJECTING OF HOST SYSTEM (1)

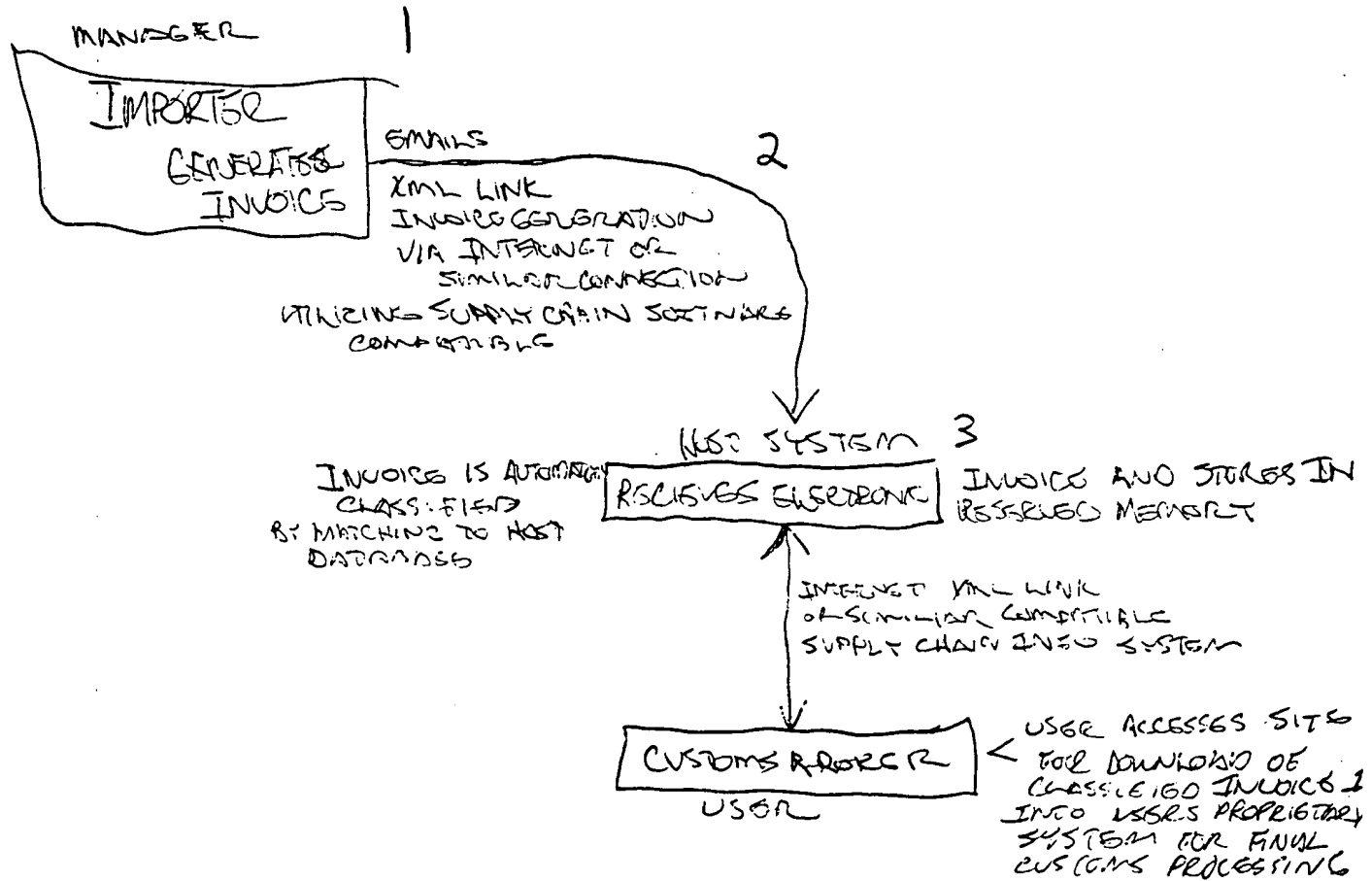
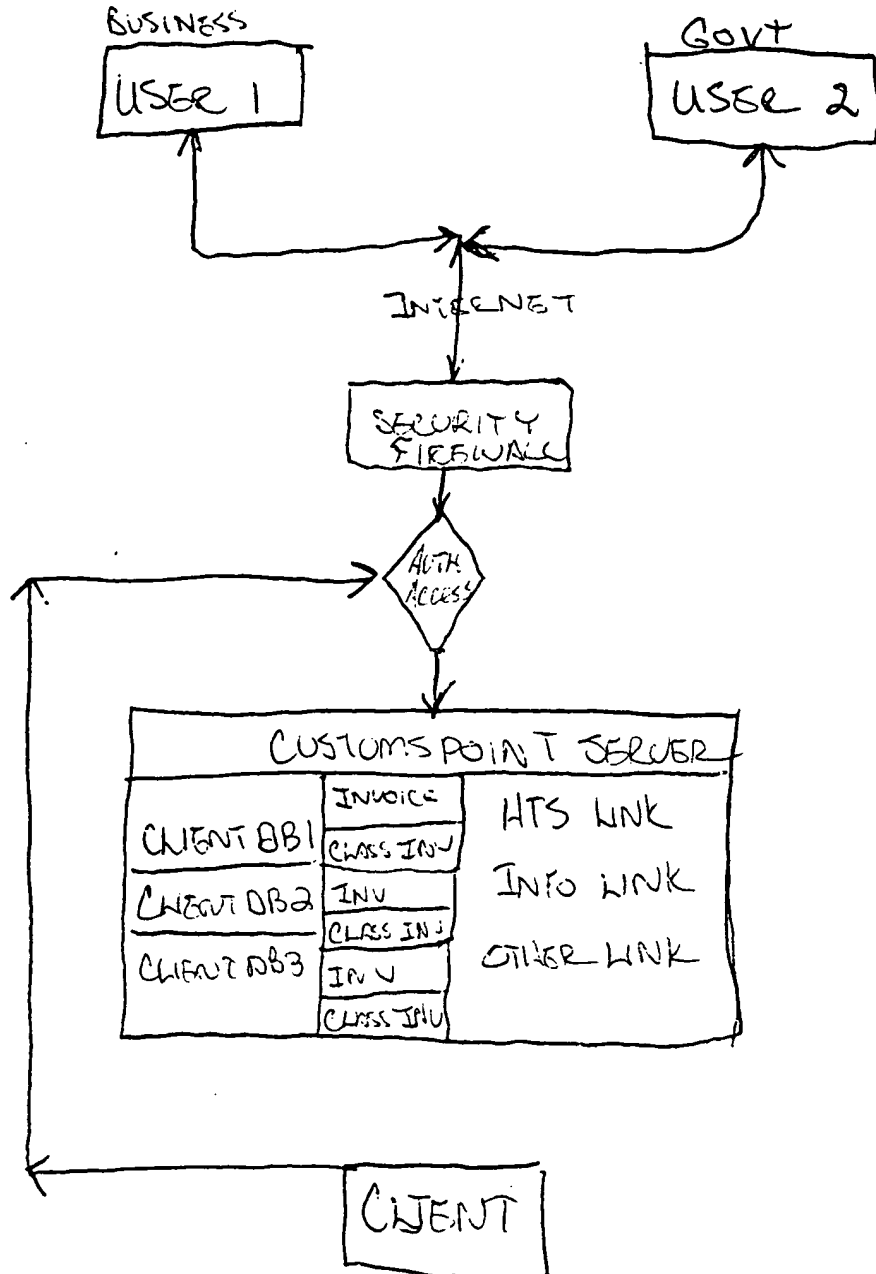




EXHIBIT G

# OBJECTIVE OF USERS





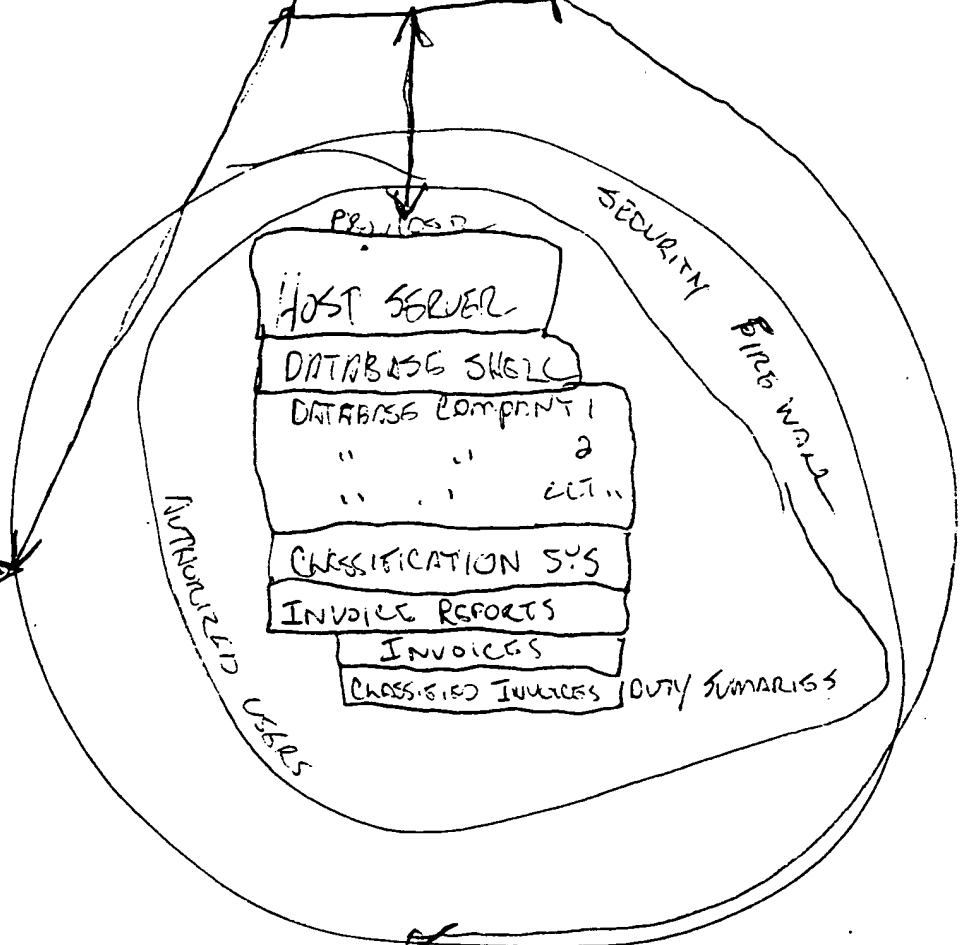
IMPORTER OF RECORD  
SHIPPER/CONSIGNEE  
MANUFACTURER/DISTRIBUTOR

PROVIDER OF INFORMATION  
ADDENDUM/DETAILS INFORMATION  
RETRIEVE CUSTOMS INFORMATION  
DOWNLOAD CLASSIFIED INVOICES  
RETRIEVE SUMMARY REPORTS

USER ACCESS

USC  
BUT CUSTOMS  
AGENCY

REVIEW PROCEED  
DATABASES AND CLASSIFIED -  
INVOICE INFORMATION  
FOR COMPLIANCE  
PROVISIONS



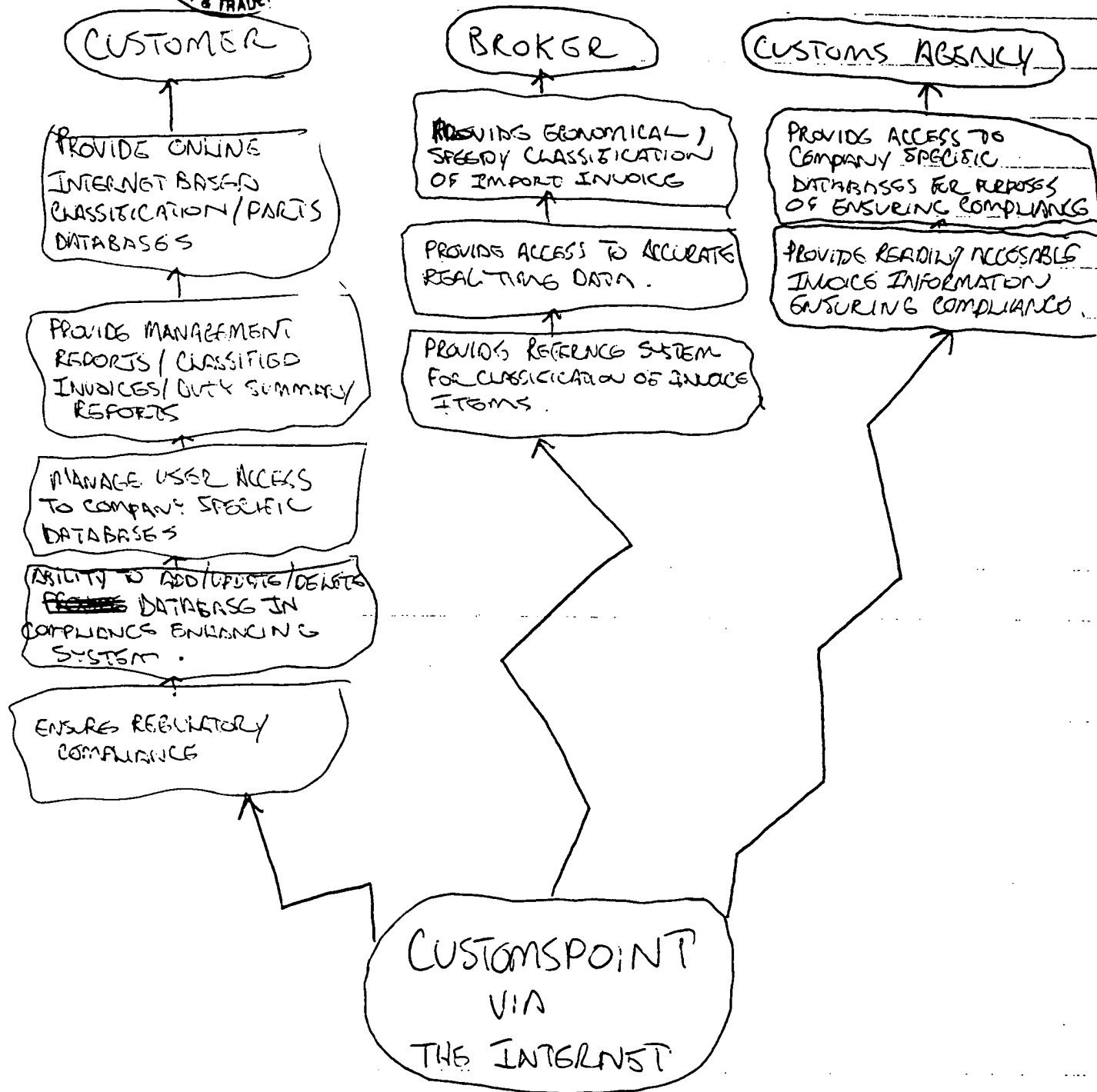
USER

CUSTOMS BROKER  
FREIGHT FORWARDER  
LOGISTICS AGENCY  
INTERESTION  
CONSOLIDATION  
AUTHORIZED PERSONNEL ENTITY

USC  
RETRIEVE CUSTOMS INFORMATION ON ~~PRODUCTS~~ IN L  
DOWNLOAD CLASSIFIED INVOICES  
RETRIEVE SUMMARY REPORTS



# CUSTOMSPPOINT FUNCTIONS OF MODEL



JAN 28 2002

